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Date: July. 2, 2004 Name: James A. Collins Signature: James A. Collins

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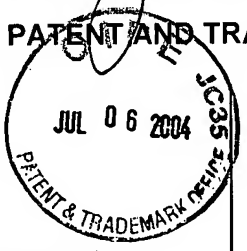

In re Appln. of: Farrell et al.

Appln. No.: 10/713,126

Filed: November 14, 2003

For: System and Method for Controlling Markets During a Stop Loss Trigger

Examiner: To be assigned

Art Unit: 3622

Attorney Docket No: 4672/310

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

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☒ Return Receipt Postcard

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						Small Entity			Not a Small Entity	
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Respectfully submitted,

July 2, 2004
Date

James A. Collins
James A. Collins (Reg. No. 43,557)
Agent for Applicants
Customer No.: 00757

BRINKS HOFER GILSON & LIONE
NBC Tower – Suite 3600, 455 N. Cityfront Plaza Drive, Chicago, IL 60611-5599

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Application Number	10/713,126
Filing Date	Nov. 14, 2003
First Named Inventor	Farrell et al.
Art Unit	3622
Examiner Name	To be assigned
Attorney Docket Number	4672/310

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☐ Applicant/Inventor

☐ Assignee of record of the entire interest.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ Attorney or Agent of record.

☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number

Typed or Printed Name James A. Collins, Reg. No. 43,557

Signature

Date July 2, 2004

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.*

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